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US Dept. of Commerce Pat. &amp; Trademark Office

Attorney's Docket No.

22130

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 USC 371

US. Application No. (if known)

10/070020

INTERNATIONAL APP. NO.  
PCT/GR00/00012

INTERNATIONAL FILING DATE  
7 March 2000

PRIORITY DATE CLAIMED  
12 October 1999

TITLE OF INVENTION

METHOD FOR ENHANCING THE QUALITY OF THE PRODUCTS OF A TOBACCO PLANT

APPLICANT(S) FOR DO/EO/US  
Athanasios NIKOLAOU

Applicant herewith submits to the United States Designated/Elected Office (DO/EU/US) the following .

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☐ This is an express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 317(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed **IN ENGLISH** (35 USC 371(c)(2)).
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau.
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Patent Office.
6. ☐ A translation of the International application into English.
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau.
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3).
9. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4).
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

Items 11. to 16. below concern documents or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An Assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items of information.  
Drawing (1 sheets)

20020206 00000000

US Application no (if known)  
 10/0700

International Application no.  
 PCT/GR00/00012

CALCULATIONS PTO USE ONLY

17. The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5):

Search report has been prepared by the EPO or JP ..... \$890.00

Int'l prel. exam. fee paid to USPTO (37 CFR 1.482) ..... \$710.00

No int'l prel. exam. fee paid to USPTO (37 CFR 1.482)  
 but int'l search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$740.00

Neither int'l prel. exam fee (37 CFR 1.482) nor  
 int'l search fee (37 CFR 1.455(a)(2)) paid to USPTO ..... \$1040.00

Intl. prel. exam. fee paid to USPTO (37 CFR 1.482)  
 and all claims satisfied provisions of PCT Art. 33(2-4) ..... \$100.00

\$1,040

ENTER APPROPRIATE BASIC FEE AMOUNT

Surcharge of \$130.00 for furnishing oath or declaration later than ☐ 20 ☐ 30  
 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NO. FILED	NO. EXTRA	RATE
Total claims	13	0	\$18

\$0

Ind. claims	0	0	\$84
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\$0

MULTIPLE DEP. CLAIM(S) (if applicable) (see prel. amt.) 280

TOTAL OF ABOVE CALCULATIONS

\$1,040

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement  
 must also be filed (37 CFR 1.2, 1.27, 1.28)

\$520

SUBTOTAL

\$520

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
 months from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE

\$520

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The Assignment may be  
 accompanied by an appropriate PTO-1595 cover sheet (37 CFR 3.28, 3.39)

TOTAL FEES ENCLOSED

Amt to be refunded

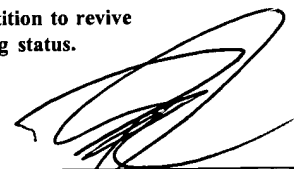
Amt to be  
 charged

- a. ☐ A check in the amount of \$ to cover the above fees is enclosed  
 b. ☐ Please charge my deposit account 18-2025 \$ to cover the above fees. A copy of this sheet is enclosed.  
 c. ☒ Please charge the amount due to the credit card identified in the attached PTO-2038.  
 d. ☒ The commissioner is authorized to charge any additional fees which may be required or credit any overpayment to deposit  
 account 18-2025. A copy of this sheet is enclosed  
 e. A PTO-2038 in the amount of \$ to cover recordal of the Assignment is enclosed

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive  
 (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:

The Firm of Karl F. Ross P.C.  
 5676 Riverdale Ave. Box 900  
 Riverdale (Bronx), NY 10471

  
 Herbert Dubno, Reg. No. 19,752

22130

IN THE U.S. PATENT AND TRADEMARK OFFICE

Inventor **Athanasios NIKOLAOU**  
Patent App. **Not known (US Nat'l phase of PCT/GR00/00012)**  
Filed **Concurrently herewith**  
For **METHOD FOR ENHANCING THE QUALITY OF THE  
PRODUCTS OF A TOBACCO PLANT**

Hon. Commissioner of Patents  
Washington, DC 20231

**RECORD OF TRANSMITTAL--PCT APPLICATION**

<input checked="" type="checkbox"/>	PCT Transmittal	
<input checked="" type="checkbox"/>	PCT Application IN ENGLISH	
<input type="checkbox"/>	Translation	
<input checked="" type="checkbox"/>	Sheets of Drawing (1)	
<input checked="" type="checkbox"/>	PCT Declaration	
<input checked="" type="checkbox"/>	PCT Documents	
<input checked="" type="checkbox"/>	International Search Report	
<input checked="" type="checkbox"/>	Preliminary Amendment	
<input type="checkbox"/>	Assignment	
<input checked="" type="checkbox"/>	PTO-2038 for Official Fees	
<input checked="" type="checkbox"/>	Basic Fee (Small Entity)	\$520.00
<input type="checkbox"/>	Ind. claims in excess of 3	\$00.00
<input type="checkbox"/>	Claim in excess of 20	\$00.00
	<b>Total</b>	<b>\$520.00</b>

Please charge any fees not covered by an enclosed PTO-2038 to  
account 18-2025 of the undersigned.

25 February 2002

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Bronx, NY 10471-0900  
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Customer No. 535  
rg

Respectfully submitted,  
The Firm of Karl F. Ross P.C.

  
Herbert Dubno, Reg. 19,752

CERTIFICATE OF EXPRESS MAIL  
FIRST CLASS MAIL PERMIT NO. 1070020

Postage and Fees Paid  
The Firm of Karl F. Ross P.C.  
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Bronx, NY 10471-0900  
Postmaster: Please do not open this envelope.  
If the number of the Express Mail mailing label is provided below, this envelope addressed to: Commissioner of Patents and Trademarks, P.O. Box 20231, on

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The Firm of Karl F. Ross P.C.

PATENT APPLICATION SERIAL NO.

10-070020

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

03/07/2002 NGUYEN 00000115 10070020

01 FC:961

520.00 OP